## Supplemental Statement Pursuant to Section 2 of the Foreign Agents Registration Act of 1938, as amended

OMB NO.1124-0002

For Six Month Period Ending FFB 2 8 2009

		I - REGI	STRANT			
	fRegistrant Schubert Barer ership of Professiona	l Corporation	(b) Registration No	3047		·
1191 Sec Seattle,	s Address(es) of Registrant cond Avenue WA 98101–2939					
2. Has there b	een a change in the information	previously furnished	l in connection with the	e following:		
(a)	If an individual: (1) Residence address(es) (2) Citizenship (3) Occupation	Yes	No X No X No X		2009 APR	
(b)	If an organization: (1) Name (2) Ownership or control (3) Branch offices	Yes  Yes  Yes  Yes	No X No 🖄 No 🔯		2009 APR - I PM 12: 27 CRM/ISS/REGISTRATION UNIT	
(c)	Explain fully all changes, if	any, indicated in iter	ns (a) and (b) above.	•	27 4 UNI	
	W THE DECISION A		OMIT DESPONSE TO			
2 15 h			L, OMIT RESPONSE TO	•		1
3. If you have	previously filed Exhibit C <sup>1</sup> , sta	Yes	No 🖺	ed during this 6 moi	ith reporting p	eriod.
If yes	s, have you filed an amendment	to the Exhibit C?	Yes	No 🗌		•
If no,	please attach the required amer	ndment.				
		• .				

4. (a) Have any persons ceperiod?	ased acting as partners, Yes \( \overline{\text{N}} \)		ors or similar official	ls of the registrant during	g this 6 month repor	ting
If yes, furnish the follow	ring information:		100			
Name Robert Griffith Keith Dubanevic Rich Wyde		Position Owner Owner Owner		Septer Januar	nnection ended nber 30, 2008 ry 9, 2009 ry 23, 2009	
(b) Have any persons be	ecome partners, officers Yes \( \sum \) No		milar officials during	this 6 month reporting	period?	
If yes, furnish the follow	ing information:					
Name	Residence address		Citizenship	Position	Date assumed	
	· .					
5. (a) Has any person nam  If yes, identify each such	Yes No	X	tly in furtherance of t	the interests of any forei	gn principal?	
(b) Have any employees connection with the	registrant during this 6		_		employment or	
Name	Position	on or connection	n	Date terminated		
•	•			•		
	reporting period, has the s to the registrant direct ated or similar capacity	tly in furtheran	ed as employees or in ce of the interests of a No 🔼	any other capacity, any any foreign principal(s)	persons who render in other than a cleric	red cal or
If yes, furnish the follow	ring information:		•			
Name	Residence address		Citizenship	Position	Date assumed	
				,		
6. Have short form registr  N/A  If no, list names of per		Y	es 🗌 No		supplemental state	ment?

## II - FOREIGN PRINCIPAL

7. Has your con	nection with any foreign principal ende Yes \(\square\) N	d during this 6 month reo 図	eporting period?	
If yes, furnish	the following information:			
Name of fore	eign principal		Date of termination	
8. Have you acc	quired any new foreign principal2 during		period?	
If ves, furnish	Yes $\square$ N the following information:	√o <b>⊠</b>		
	ddress of foreign principal	*1	Date acquired	
•			•	
				•
9. In addition to	those named in Items 7 and 8, if any, I	ist foreign principals² w	hom you continued to represent duri	ng the 6 month
reporting per	iod.			
See Attac	chment.	·		
			•	
10. ЕХНІВ	ITS A AND B			· ·
(a)	Have you filed for each of the newly	acquired foreign princi	pals in Item 8 the following: N/A	
	Exhibit A <sup>3</sup> Yes	No 🗌		
	Exhibit B <sup>4</sup> Yes $\square$	No 🗌		•
	If no, please attach the required exhib	it.		
(b)	Have there been any changes in the E represented during the 6 month period		usly filed for any foreign principal w Yes 口 No 図	hom you
	If yes, have you filed an amendment	to these exhibits?	Yes No No	•
	If no, please attach the required amen	dment.		
		•	,	

<sup>2</sup> The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9).) A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the

<sup>3</sup> The Exhibit A, which is filed on Form NSD-3 (Formerly CRM-157), sets forth the information required to be disclosed concerning each foreign principal.

4 The Exhibit B, which is filed on Form NSD-4 (Formerly CRM-155), sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

## III - ACTIVITIES

11. During this 6 month reporting named in Items 7, 8, and 9 of		l in any activities Yes 🖄	for or rendered any services to No [	any foreign principal
If yes, identify each such fore	eign principal and describe	e in full detail you	r activities and services:	
See Attachment.	٠			
·	ત્ર			
		·		.M.
	·		•	
12. During this 6 month reporting	nariod have you on beha	If of any foreign	principal engaged in political a	ctivity? as defined helow?
Yes No 🛚				-
the relations, interests and pol	icies sought to be influence red speeches, lectures or ra	ed and the means	such political activity, indicating employed to achieve this purp dcasts, give details as to dates a	ose. If the registrant
		•		
	,			
•				
13. In addition to the above description your foreign principals?	ribed activities, if any, hav	e you engaged in	activity on your own behalf w	nich benefits any or all of
If yes, describe fully.			×	
	. ·			

<sup>5</sup> The term "political activities" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

## IV - FINANCIAL INFORMATION

4. (a)	RECEIPTS -MONI During this 6 month statement, or from a either as compensat	reporting period, have any other source, for or i	you received from any for n the interests of any such Yes 🖺 No 🗆		ems 7, 8, or 9 of this tributions, income or money
	If no, explain why.				
	If yes, set forth belo	ow in the required detail	and separately for each fo	reign principal an account	of such monies.
	Date	From whom	Purpose		Amount
	See Attachmen	t.			
					•
	·				
			•		`
	·• .			•	
					Total
(b)	During this 6 month	RAISING CAMPAIGN reporting period, have med in items 7, 8, or 9 cm.		fund raising campaign <sup>7</sup> , an ☐ No 🏽	y money on behalf of any
	If yes, have you file	ed an Exhibit D <sup>8</sup> to your	registration? Yes [	No 🗆	
•	If yes, indicate the	date the Exhibit D was f	iled. Date	, ·	
(c)	named in Items 7, 8	n reporting period, have		value <sup>9</sup> other than money f for or in the interests of an	
	If yes, furnish the fo	ollowing information:			
	Name of foreign principal	Date received	Description of thing of value	Purpos	se ,

<sup>6, 7</sup> A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. (See Rule 201(e).)

<sup>8</sup> An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fund raising campaign and transmitted for a foreign principal.

9 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

							(PAGE 6)
15. (a	During this 6 m	TS - MONIES onth reporting period,	have you		<u>.                                    </u>		
	_	r expended monies in o	· ·	ty on behalf of an Yes 🛚 🛣	ny foreign princip No 🏻	al named in Item	s 7, 8, or
	(2) transmitted	monies to any such for	reign principal?	Yes	No .		
	If no, explain in	full detail why there v	vere no disbursements	made on behalf	of any foreign pr	incipal.	
							•
		pelow in the required de ed, if any, to each fore		r each foreign pr	incipal an accoun	t of such monies,	including
÷	Date	To whom	Purpose			Amount	
	See Attachm	ent.	•				
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		•					

Total

During this 6 m	activities on behalf of	JUE nave you disposed of anything any foreign principal named i 图	of value <sup>10</sup> other than mone n Items 7, 8, or 9 of this state	y in furtherance of or in ement?
If yes, furnish th	ne following informatio	n:		
Date disposed	Name of person to whom given	On behalf of what foreign principal	Description of thing of value	Purpose
				•
			•	
				•
				•
		·		
		· ·	-	
During this 6 m other person, m	n with any primary elec-	NTRIBUTIONS have you from your own funds f money or other things of validion, convention, or caucus he	s and on your own behalf ei- lue <sup>11</sup> in connection with an old to select candidates for p	ther directly or through any election to any political office olitical office?
If yes, furnish t	he following information	n:		•
Date	Amount or thing of value	Name o political		Name of candidate
See Attachn	ment.	organizati	on	

## V - INFORMATIONAL MATERIALS

16.	During this 6 month reporting Yes	ng period, did you prepare, disseminate or cause to be disseminated any informational materials 12?  No [X]	
	_		
	IF YES, RESPOND TO T	IE REMAINING ITEMS IN SECTION V.	
17	Identify each such foreign	principal	
17.	Identity each such foreign	interpat.	
	,		
18.	During this 6 month report	ng period, has any foreign principal established a budget or allocated a specified sum of money to eparing or disseminating informational materials?  Yes  No   No	
	finance your activities in p	eparing or disseminating informational materials? Yes \(\sumset\) No \(\sumset\)	
	If yes, identify each such for	reign principal, specify amount, and indicate for what period of time.	
	·		
		ng period, did your activities in preparing, disseminating or causing the dissemination of information any of the following: $N/A$	nai
	Radio or TV	☐ Magazine or newspaper ☐ Motion picture films ☐ Letters or telegrams	,
П	broadcasts	articles	•
	Advertising campaigns	Press releases Pamphlets or other publications Lectures or speeches	S
	Internet	Other (specify)	-
20.	During this 6 month report following groups: N/A	ng period, did you disseminate or cause to be disseminated informational materials among any of th	ie
	Public officials	☐ Newspapers ☐ Libraries	
	Legislators	☐ Editors ☐ Educational institutions	
	Government agencies	☐ Civic groups or associations ☐ Nationality groups	
	Other (specify)	· · · · · · · · · · · · · · · · · · ·	
21.	What language was used in	the informational materials: N/A	
	English	Other (specify)	
		tration Unit, U.S. Department of Justice a copy of each item of such informational materials seeminated during this 6 month reporting period? N/A Yes \( \Boxed{\boxed}\) No \( \Boxed{\boxed}\)	
23.	Did you label each item of Yes	such informational materials with the statement required by Section 4(b) of the Act? N/A  No  No	

<sup>12</sup> The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

## VI - EXECUTION

In accordance with 28 U.S.C. §1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)	(Type or print name under each signature <sup>13</sup> )
3/31/09	El S Cher
	Erle Cohen, Chief Operating Officer

## UNITED STATES DEPARTMENT OF JUSTICE FARA REGISTRATION UNIT NATIONAL SECURITY DIVISION WASHINGTON, D.C. 20530

## NOTICE

Please answer the following questions and return this sheet in triplicate with your Supplemental Statement:

YES	or NO
(If your answer to question 1	is "yes" do not answer question 2 of this form.)
2. Do you disseminate ar	ny material in connection with your registration:
YES	or NO
H9/Sailey	3/31/09 APR - Dale
DIZIMILATE	
Signature	RATIO (
Harold G. Bailey,	PH 12: 27 RATION UN
Please type or print name of	enanty
Please type or print name of	PH 12: 27
Please type or print name of Signatory on the line above	JK.  Schubert Barer



### U.S. Department of Justice

National Security Division

Washington, DC 20530

# THIS FORM IS TO BE AN OFFICIAL ATTACHMENT TO YOUR CURRENT SUPPLEMENTAL STATEMENT - PLEASE EXECUTE IN TRIPLICATE

#### SHORT-FORM REGISTRATION INFORMATION SHEET

## SECTION A

The Department records list active short-form registration statements for the following persons of your organization filed on the date indicated by each name. If a person is not still functioning in the same capacity directly on behalf of the foreign principal, please show the date of termination.

### Short Form List for Registrant: Garvey, Schubert & Barer

Last Name	First Name and Other Names	Registration Date	Termination Date	Role	
Bailey	Harold G.	03/09/1989			
Greenberg	Eldon V.C.	03/09/1992			
Hoff	Paul S.	03/09/1989			
Wegman	Richard A.	03/09/1989			

No charges



## U.S. Department of Justice

National Security Division

Washington, DC 20530

## **SECTION B**

In addition to those persons listed in Section A, list below all current employees rendering services directly on behalf of the foreign principals(s) who have not filed short-form registration statements. (Do <u>not</u> list clerks, secretaries, typists or employees in a similar or related capacity). If there is some question as to whether an employee has an obligation to file a short-form, please address a letter to the Registration Unit describing the activities and connection with the foreign principal.

Name	Function	Date Hired
•		·
	1000	
	1 1010	
Signature:	Date:	
Title:		

### ATTACHMENT Supplemental Statement

Registrant:
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Garvey Schubert Barer

Registrant No.:

3047

For the Six-Month Period Ending February 28, 2009

9. In addition to those names in Items 8 and 9, if any, list the foreign principals whom you continued to represent during the 6-month reporting period.

Japan Fisheries Association Canadian Embassy Province of Ontario

During this six-month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in items 8, 9, and 10 of this statement?

Yes.

If yes, identify each such foreign principal and describe in full detail your activities and services:

NOTE: Our response to Item 11 includes a general description of services performed on behalf of clients for whom we are registered and particular detail required by the "Guidelines for Response on Supplemental Statements" with respect to matters specifically listed in those guidelines. Specific detail with respect to any activities that are deemed to be "political activities" is provided in response to Item 12 and is not repeated in our response to Item 11.

- A. Japan Fisheries Association (JFA): Monitor congressional and administrative action with respect to high seas fisheries issues, including following legislation reauthorizing the Magnuson Stevens Fisheries Conservation and Management Act. Specific responses are as follows:
  - a. No activities.
  - b. No activities.
  - c. No activities.
  - d. Dissemination limited to privileged communications with clients.
- B. Province of Ontario. Monitor administrative rulemakings, policies, and legislative proposals that may affect transboundary air pollution or control waste exports from the Province of Ontario to the U.S.
  - a. No activities.
  - b. No activities.
  - c. No activities.
  - d. Dissemination limited to privileged communications with clients.

# ATTACHMENT Supplemental Statement

Registrant:

Garvey Schubert Barer

Registrant No.:

3047

For the Six-Month Period Ending February 28, 2009

### 14. (a) Receipts-monies.

During this 6-month reporting period, have you received from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise?

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.

Most of the fee receipts were compensation in connection with activities which would not require registration. We have not made a breakdown of fees received for registrable and nonregistrable activities.

•	_	i i	•
<u>Date</u>	From Whom	Amount	Purpose
9/09	Japan Fisheries Association (JFA)	\$ 1,125.00	Monitor congressional and administrative action with respect to high seas fisheries issues.
11/29	Japan Fisheries Association (JFA)	\$12,222.89	Monitor congressional and administrative action with respect to high seas fisheries issues.
9/03	Province of Ontario	\$ 7,076.75	Monitor congressional and administrative action with respect to transboundary air pollution or solid waste exports.
10/06	Province of Ontario	\$12,472.25	Monitor congressional and administrative action with respect to transboundary air pollution or solid waste exports.
.02/19	Province of Ontario	\$ 9,525.25	Monitor congressional and administrative action with respect to transboundary air pollution or solid waste exports.

### ATTACHMENT Supplemental Statement

Registrant:

Garvey Schubert Barer

Registrant No.:

3047

For the Six-Month Period Ending February 28, 2009

### 15. (a) Disbursements-monies

During this 6-month reporting period, have you (1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 8, 9 and 10 of this statement?

#### Note: `

We generally do not segregate our office expenses between registrable and nonregistrable activities. Therefore, we are reporting all expenses as if they pertained to registrable activities. We account for disbursements as follows:

i. Office Expenses (postage, telephone, telex, messenger service, photocopying, parking, Fax, document preparation, travel and lodging.)

Client	Date	To Whom	Purpose	Amount
Japan Fisheries Association	9/09	Various	Office Expenses	\$ 17.00
Province of Ontario	10/06	Various	Office Expenses	\$ 7.84
Province of Ontario	2/19	Various	Office Expenses	\$ 8.16

- ii. Salaries. No salaries paid by registrant. All persons performing services on behalf of foreign principals are lawyers employed by sub-agents of registrant engaged in the practice of law. Their compensation does not depend upon performing services on behalf of foreign principals for which we are registered.
  - iii. Travel--none
  - iv. Advertising--none
  - v. Public Relations--none
  - vi. Entertainment--none

# ATTACHMENT Supplemental Statement

Registrant:

Garvey Schubert Barer

Registrant No.:

3047

For the Six-Month Period Ending February 28, 2009

### 15. (c) Disbursements - political contributions

During this six-month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

If yes, furnish the following information:

<u>Date</u>	Amount	Name of Political Org.	Contributor
10/12/08	\$500	Democratic Senate Campaign Committee	Paul S. Hoff

## CANADIAN EMBASSY ATTACHMENT Supplemental Statement

Registrant:

Garvey, Schubert Barer

Registrant No.:

3047

For the Six-Month Period Ending February 28, 2009

11. During this six-month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in items 8, 9, and 10 of this statement?

If yes, identify each such foreign principal and describe in full detail your activities and services:

NOTE: Our response to Item 11 includes a general description of services performed on behalf of clients for whom we are registered and particular detail required by the "Guidelines for Responses on Supplemental Statements" with respect to matters specifically listed in those guidelines. Specific detail with respect to any activities that are deemed to be "political activities" is provided in response to Item 12 and is not repeated in our response to Item 11.

Canadian Embassy: Monitor and analyze (i) legislative actions relating to the Clean Air Act and other related statutes involving international environmental impacts, (ii) Environmental Protection Agency regulatory actions, (iii) legislative and administrative actions relating to the extraterritorial impacts of the Endangered Species Act, the Pacific Salmon Treaty, the Magnuson Fisheries Conservation and Management Act and related Pacific fishery regulations, (iv) legislative and regulatory actions, and possible litigation, stemming from the proposed development of water projects in North Dakota potentially affecting Canada, including the possible construction of the Devil's Lake project and the Northwest Area Water Supply Project, and (v) government policy pertaining to environmental cleanup responsibilities associated with closure of U.S. military bases. Specific responses are as follows:

- a. No activities.
- b. No activities.
- c. Reported under Item 12.
- d. Nothing to report.
- 12. During this six-month reporting period, have you on behalf of any foreign principal engaged in political activity as defined below?

No.

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interest and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored, or delivered speeches, lectures or radio and TV broadcast, give details as to dates, places of delivery, names of speakers and subject matter.

Canadian Embassy: Personal or telephone contact by or on behalf of Richard A. Wegman, Harold G. Bailey, Jr. or Eldon V.C. Greenberg with the following individuals to monitor the issues listed in Item 11 above.

No contacts during this period.

#### 14.(a) Receipts-monies.

During this six-month reporting period, have you received from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise?

## FARA Supplemental Statement - Canadian Embassy

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.

Most of receipts were compensation in connection with activities which would not require registration. We have not made a breakdown of fees received for registerable and non-registerable activities.

Date

From Whom

Amount

Purpose

### None

### 15.(a) DISBURSEMENTS-MONIES

During this six-month reporting period, have you (1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 8, 9 and 10 of this statement?

### Note:

We generally do not segregate our office expenses between registerable and non-registerable activities. Therefore, we are reporting all such costs as if they pertained to registerable activities. We account for disbursements as follows:

i. Office Expenses (postage, telephone, telex, messenger service, photocopying, parking, fax, document preparation, travel and lodging.)

Client

Date

To Whom

Purpose

Amount

### None

ii. Salaries. No salaries paid by registrant. All persons performing services on behalf of foreign principals are lawyers employed by subagents of registrant engaged in the practice of law. Their compensation does not depend upon performing services on behalf of foreign principals for which we are registered.

iii. Travel

Client:

Canadian Embassy

None

iv. Advertising

Client: Canadian Embassy

None

v. Public Relations

Client: Canadian Embassy

None

vi. Entertainment

Client: Canadian Embassy

None

CRM/ISS/REGISTRATION UNIT